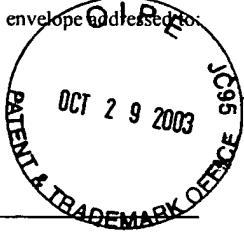


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TOWNSEND and TOWNSEND and CREW LLP

By: Patent Copy

PATENT
Attorney Docket No.: 018547-025010US
Client Reference No.: 3028.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Mark Chee et al.

Application No.: 08/856,376

Filed: May 14, 1997

For: POLYMORPHISMS IN HUMAN
MITOCHONDRIAL DNA

Examiner: Ardin Marschel

Art Unit: 1631

**SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account. This transmittal is filed in duplicate.

Respectfully submitted,



Joe Liebeschuetz
Reg. No. 37,505

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60068963 v1



Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
Sheet 1		of 3	Application Number	08/856,376	
			Filing Date	May 14, 1997	
			First Named Inventor	Chee, Mark	
			Art Unit	1631	
			Examiner Name	Ardin Marschel	
			Attorney Docket Number	018547-025010US	

U.S. PATENT DOCUMENTS+					
		Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Examiner Initials*	Cite No. ¹	Number Kind Code ² (if known)	MM-DD-YYYY		

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³	Number ⁴		
					T ⁶

Examiner Signature	Date Considered
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¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ²Applicant's unique citation designation number (optional). ³Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ⁴Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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<p>Substitute for form 1449B/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(use as many sheets as necessary)</p>				Complete if Known	
Sheet 2 of 3		Application Number 08/856,376 Filing Date May 14, 1997 First Named Inventor Chee, Mark Art Unit 1631 Examiner Name Ardin Marschel Attorney Docket Number 018547-025010US			

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
	AA	Ginther et al., "Identifying individuals by sequencing mitochondrial DNA from teeth," <i>Nature Genetics</i> , 2:135 (10/1992).		
	AB	Greenberg et al., "Intraspecific nucleotide sequence variability surrounding the origin of replication in human mitochondrial DNA," <i>Gene</i> 21(1-2):33 (1983).		
	AC	Howell et al., "Mitochondrial gene segregation in humans: is the bottleneck always narrow?" <i>Human Genetics</i> , 90:117 (1992).		
	AD	Howell et al., "When does bilateral optic atrophy become Leber hereditary optic atrophy?" <i>American Journal of Human Genetics</i> , 53:959 (1993).		
	AE	Hutchin et al., "A molecular basis for human hypersensitivity to aminoglycoside antibiotics," <i>NAR</i> 21(18):4174 (1993).		
	AF	Ikebe et al., "Point mutations of mitochondrial genome in Parkinson's disease," <i>Molecular Brain Research</i> 28(2):281 (1995).		
	AG	Isenberg and Moore, "Mitochondrial DNA Analysis at the FBI Laboratory," <i>Forensic Science Communications</i> , Vol. 1, No. 2 (7/1999).		
	AH	Johns and Neufeld, "Pitfalls in the molecular genetic diagnosis of Leber hereditary optic neuropathy (LHON)," <i>American Journal of Human Genetics</i> , 53 (4):916 (1993).		
	AI	Marzuki et al., "Normal variants of human mitochondrial DNA and translation products: building a reference data base," <i>Human Genetics</i> , 88 (2):139 (1991).		
	AJ	Mehta, et al., "A new genetic polymorphism in the 16S ribosomal RNA gene of human mitochondrial DNA," <i>Annals of Human Genetics</i> , 53 (Pt. 4):303 (1989).		
	AK	Moraes, et al., "Two novel pathogenic mitochondrial DNA mutations affecting organelle number and protein synthesis. Is the tRNA Leu(UUR) gene an etiologic hot spot?" <i>J. of Clinical Investigation</i> , 92(6):2906 (1993).		
	AL	Ozawa et al., "Distinct clustering of point mutations in mitochondrial DNA among patients with mitochondrial encephalomyopathies and with Parkinson's disease," <i>BBRC</i> , 176 (2):938 (1991).		
	AM	Ozawa et al., "Patients with idiopathic cardiomyopathy belong to the same mitochondrial gene family of Parkinson's disease and mitochondrial encephalomyopathy," <i>BBRC</i> 177(1):518 (1991).		
	AN	Petruzzella et al., "Is a point mutation in the mitochondrial ND2 gene associated with Alzheimer's disease?" <i>BBRC</i> 186:491 (1992).		
	AO	Prezant et al., "Mitochondrial ribosomal RNA mutation associated with both antibiotic-induced and non-syndromic deafness," <i>Nature Genetics</i> , 4 (3):289.		
	AP	Reid et al., "Complete mtDNA sequence of a patient in a maternal pedigree with sensorineural deafness," <i>Human Molecular Genetics</i> , 3(8):1435 (1994).		

Examiner Signature	Date Considered
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.



Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
Sheet	3	of	3	Application Number	08/856,376
				Filing Date	May 14, 1997
				First Named Inventor	Chee, Mark
				Art Unit	1631
				Examiner Name	Ardin Marschel
				Attorney Docket Number	018547-025010US

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
	AQ	Ruvolo et al., Mitochondrial COII sequences and modern huiman origins," Molecular Biology and Evolution, 10:1115 (1993).		T ²
	AR	Seneca et al., "Importance of sequence analysis in the NARP syndrome," J. Inherited Metabolic Disorders, 18 (1):97 (1995).		
	AS	Tanaka and Ozawa, "Strand asymmetry in human mitochondrial DNA mutations," Genomics, 22(2):327 (1994).		

Examiner Signature	Date Considered
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